

T001(TR) Transact Portfolio Application Trust Applicants

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11/07

Adviser Notes

This application is for trust and trustee applicants only. Individual and joint applicants should use Transact Form T001. Corporate applicants should use Transact Form T001(CA).

The terms used in this Application Form shall have the meanings ascribed to them in the Transact Key Features Document and Terms and Conditions (or, in respect of Section 11 of this Application Form, the Transact Adviser Terms and Conditions).

If there is more than one Trustee, the consent or instruction of all of the Trustees will be required unless you evidence otherwise by providing to us the terms of the trust showing this not to be the case. In respect of the use of Transact Portfolio number and PIN, we will deem this to be an instruction from all Trustees, in accordance with the terms of your Portfolio. An original or a certified copy of the trust deed (and any documentation changing the beneficiaries and/or trustees since the date of the trust deed) must be submitted with this application.

This Application Form must be read in conjunction with the Transact Key Features Document and Terms and Conditions. This document is available on www.transact-online.co.uk.

If the application is being signed under a Power of Attorney, we will require the identity of the Attorney to be verified as well as that of the applicant. The original or a certified copy of the Power of Attorney appointment documentation must be submitted with this application. We will also need to record and, where necessary, verify the reason for granting the Power of Attorney.

1 Applicant Details

Trust Name

Trust Address

(PO Boxes are not acceptable and 'Care Of' addresses must only be to a Trustee or a place of business at which a Trustee works)

Country

Postcode

--	--	--	--	--	--	--	--

Trust Correspondence Address

(If different from Trust Address)

Country

Postcode

--	--	--	--	--	--	--	--

Type of Trust

<input type="checkbox"/> Transact Bare Trust	<input type="checkbox"/> Transact Discounted Gift Bare Trust	<input type="checkbox"/> Transact Gift and Loan Bare Trust
<input type="checkbox"/> Transact Flexible Trust	<input type="checkbox"/> Transact Discounted Gift Flexible Trust	<input type="checkbox"/> Transact Gift and Loan Flexible Trust
<input type="checkbox"/> Transact Probate Trust		<input type="checkbox"/> Trustee Investment Plans
<input type="checkbox"/> Other (please describe the nature and purpose of the trust in full)		

In each case, an original or appropriately certified copy of the trust deed or other documentary evidence of the terms of the trust must be provided, together with any documentation exhibiting the appointment and/or retirement of trustees where these are no longer the same as the trustees stated on the trust deed.

Date of Establishment of the Trust

Charity Commission (or equivalent) registration number (if a charitable trust)

/	/
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--	--	--	--	--	--	--	--

Do you have an existing Transact Portfolio?

Yes

No

If yes, what is your Portfolio Number:

--	--	--	--	--	--	--	--	--	--	--	--	--

Let us know if you want this Portfolio to have a non-standard designation (e.g. 'ABC Trustees Limited - 001 Mr M Brown')

--



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1 Applicant Details (continued)

Details of Individual Trustees

Individual Trustee 1 (If the Settlor is also a Trustee then complete the Settlor's details here, as Individual Trustee 1)

Title

Family Name

Given Names

Maiden/Previous Name

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

Postcode

Postal Address

(If different from Permanent Residential Address)

Country

Postcode

Telephone (Home)

Telephone (Work)

Telephone (Mobile)

Fax

E-mail Address

Date of Birth

/ /

Nationality

Country of tax residence

Tax identification number

Under the EU Savings Directive, where you are resident outside of the UK for tax purposes, we are required to record your country of residence and your personal tax identification number in that country.

Do you have an existing Transact Portfolio?

Yes

☐

No

☐

If yes, what is your Portfolio Number:

- -

Individual Trustee 3 (if any)

Title

Family Name

Given Names

Maiden/Previous Name

Individual Trustee 2 (if any)

Title

Family Name

Given Names

Maiden/Previous Name

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

Postcode

Postal Address

(If different from Permanent Residential Address)

Country

Postcode

Telephone (Home)

Telephone (Work)

Telephone (Mobile)

Fax

E-mail Address

Date of Birth

/ /

Nationality

Country of tax residence

Tax identification number

Do you have an existing Transact Portfolio?

Yes

☐

No

☐

If yes, what is your Portfolio Number:

- -

Individual Trustee 4 (if any)

Title

Family Name

Given Names

Maiden/Previous Name



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1 Applicant Details (continued)

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Postal Address

(if different from Permanent Residential Address)

Country

--

Postcode

--	--	--	--	--	--	--	--

Telephone (Home)

--

Telephone (Work)

--

Telephone (Mobile)

--

Fax

--

E-mail Address

--

Date of Birth

	/		/	
--	---	--	---	--

Nationality

--

Country of tax residence

--

Tax identification number

--

Under the EU Savings Directive, where you are resident outside of the UK for tax purposes, we are required to record your country of residence and your personal tax identification number in that country.

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

			-				-			
--	--	--	---	--	--	--	---	--	--	--

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Postal Address

(if different from Permanent Residential Address)

Country

--

Postcode

--	--	--	--	--	--	--	--

Telephone (Home)

--

Telephone (Work)

--

Telephone (Mobile)

--

Fax

--

E-mail Address

--

Date of Birth

	/		/	
--	---	--	---	--

Nationality

--

Country of tax residence

--

Tax identification number

--

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

			-				-			
--	--	--	---	--	--	--	---	--	--	--

Details of any Corporate Trustee

Corporate Trustee

Registered Name

--

Registered Address

Country

--

Postcode

--	--	--	--	--	--	--	--

Principal Contact Fax

--

Registered Number

--

Name of Principal Contact

--

Principal Contact Telephone (Home)

--

Principal Contact Telephone (Work)

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Principal Contact Telephone (Mobile)

--

Principal Contact E-mail Address

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1 Applicant Details (continued)

Full Names of all Directors

(Executive and Non-Executive)

Details of any/all registry(ies) on which the company is registered (e.g. Companies House for England and Wales, Companies House for Scotland, the Charity Commission registry):

--

Are you in the process of being wound up (or equivalent):

Yes

No

☐☐

In respect of two of the above-named directors, please complete the following:

(at least one of the directors identified below must be an Executive Director)

Director 1

Title

--

Family Name

--

Given Names

--

Maiden/Previous Name

--

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

				-							
--	--	--	--	---	--	--	--	--	--	--	--

Director 2

Title

--

Family Name

--

Given Names

--

Maiden/Previous Name

--

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

				-							
--	--	--	--	---	--	--	--	--	--	--	--

2 Details of Known Beneficiaries

Beneficiary 1

Title

--

Family Name

--

Given Names

--

Maiden/Previous Name

--

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

				-							
--	--	--	--	---	--	--	--	--	--	--	--

Beneficiary 2 (if any)

Title

--

Family Name

--

Given Names

--

Maiden/Previous Name

--

Permanent Residential/Registered Address

PO Boxes and 'Care Of' addresses are not acceptable

Country

--

Postcode

--	--	--	--	--	--	--	--

Do you have an existing Transact Portfolio?

Yes

No

☐☐

If yes, what is your Portfolio Number:

				-							
--	--	--	--	---	--	--	--	--	--	--	--



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5 Initial Cash Deposit Instructions

Please use this section for single deposit instructions. For regular deposits, go to Section 6.

Deposit Amount	Amount by Cheque	Electronic Transfer		
		Amount by Electronic Transfer	Expected Date	Identification Reference
£	£	£		
£	£	£		
£	£	£		
£	£	£		
£	£	£		

Payment Instructions

A Cheque/Bankers Draft

Please make payable to: **Integrated Financial Arrangements plc**

If the payer of the cash deposit is not an Applicant, we will require verification of the identity and address of the payer (see Section 12), in addition to the verification required of the Applicant(s).

B Electronic Transfer

Please instruct your bank/building society to send payment to:

Account Name: Integrated Financial Arrangements plc Transact Client Account

Sort code: 60-00-01

Account Number: 36298921

Bank: National Westminster Bank plc

Address: City of London Office, PO Box 12258, 1 Princes Street, London EC2R 8PA

If the payer of the cash deposit is not an Applicant, we will require verification of the identity and address of the payer (see Section 12), in addition to the verification required of the Applicant(s).

For initial deposits by way of in specie transfer, please complete the relevant transfer form and attach it to this Application Form.

6 Regular Deposit Instructions

Please specify the amounts you wish to deposit on a regular basis and when these should be taken from your bank account. Please also ensure that you supply us with a Direct Debit Mandate (T006) to enable us to deduct these monies. Please refer to the Transact Terms & Conditions for more detail.

Deposit Amount	Timing		
	Frequency (M, Q, H, Y)	Day (1st, 11th, or 21st)	Start Month*
£			
£			
£			
£			
£			

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid standing order instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date on which your Portfolio is opened for a valid standing order instruction to be in place.

7 Buy Instructions

You may, at any time during the term of your GIA, instruct us to make purchases of investments from your available cash balance (please remember that 2% of your wrapper value must be retained as cash). If you wish to do so now, please complete the following:

Please specify below whether you want the costs and charges incurred in making any purchases set out below to be deducted on an Inclusive or Exclusive basis. "Inclusive" means that the amount of premium specified for such purchase shall be used to pay for both the investments specified and the costs and charges. "Exclusive" means that the amount of premium specified for such purchase shall be used to pay for the investments only, and the costs and charges shall be deducted separately from your Transact account in accordance with the Policy Provisions:

Inclusive

☐

Exclusive

☐

Please note that all purchase instructions (including instructions to make regular purchases) are subject to the terms of the Portfolio and, in particular, to there being sufficient cleared funds (over and above the minimum Cash balance required) available to make the purchase in question. Please also note that, unless otherwise specified in the purchase instructions, we will purchase income units/shares.



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7 Buy Instructions (continued)

Single/One-off Buys

Description of Asset (including undertaking name for fund or share and any SEDOL/EPIC code or template name)	Amount (Specify only one or the other)	
	Value (£)	Quantity
	£	
	£	
	£	

Regular Buys

Description of Asset (including undertaking name for fund or share and any SEDOL/EPIC code or template name)	Amount	Timing	
		Frequency (M, Q, H, Y)	Start Month*
	£		
	£		
	£		

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid direct debit instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date of receipt of your T006 (or from the date on which your Portfolio is opened, if later) for a valid direct debit instruction to be in place.

8 Regular Withdrawal Instructions

Please complete this section if you would like to receive a regular income from your GIA. Should you wish to take an income from any Transact Pension, please complete a Transact Pension Benefit Request (T020).

Enter Amount or tick Sweep		Timing			Destination	
Amount	Income Sweep	Frequency (M, Q, H, Y)	Day (7th, 17th, or 27th)	Start Month*	Nominated Account	Wrapper
£	<input type="checkbox"/>				<input type="checkbox"/>	
£	<input type="checkbox"/>				<input type="checkbox"/>	
£	<input type="checkbox"/>				<input type="checkbox"/>	
£	<input type="checkbox"/>				<input type="checkbox"/>	
£	<input type="checkbox"/>				<input type="checkbox"/>	

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid standing order instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date on which your Portfolio is opened for a valid standing order instruction to be in place.

9 Adviser Payment Instructions

Please specify below the payments that you require to be deducted from your Portfolio and paid to your Adviser on your behalf in return for their provision of intermediary services to you in relation to transactions as part of the operation of your Portfolio:

(If you have already set these out on an application for another Transact portfolio/wrapper you do not need to restate them here. If you set payments out here which are different from those on any previous application for another Transact portfolio/wrapper, the payments set out here will only apply to the wrappers which you are applying for on this form. Please note that these Payment Types and Applicable % Rates will apply to all Portfolios being applied for on this form)

Payment Type	Applicable Rate	Payment Type	Applicable Rate
Initial:	%	Annual - New Cash:	%
Switch:	%	Annual - Investments & Switch Cash:	%

If you wish the Initial Adviser payment to be paid on a portfolio establishment fee basis, please tick here: ☐

(Please refer to Clause 6.2 of the Transact Terms & Conditions for a description of what each payment type means (including, for example, payment on a portfolio establishment fee basis) to ensure that you have made the election you intended here)

I would also like the following amount(s) to be paid to my Adviser:

Amount	Timing			
	Regular	Frequency (M, Q, H, Y)	Day (7th, 17th, or 27th)	Start Month*
£	<input type="checkbox"/>			
£	<input type="checkbox"/>			
£	<input type="checkbox"/>			

*This will be deemed to be the later of (i) the Start Month stated here and (ii) the month following the date on which a valid standing order instruction is in place. Please note that it takes up to 10 (ten) Business Days from the date on which your Portfolio is opened for a valid standing order instruction to be in place.



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10 Client Declaration

We acknowledge and agree that you are relying on the information provided on this Application Form and as part of this application in making your decision to issue us with a Portfolio, that one or more Wrappers within our Portfolio could be invalidated by any failure to disclose facts which might influence your assessment of this application prior to acceptance, and that you might seek to make a claim against us for any loss which you suffer in relying on that information. Should we feel that any additional information should be brought to your attention, we undertake to immediately provide this to you, signed by us and, where relevant, our Adviser.

We apply for a Transact Portfolio and GIA Wrapper, on the Transact Key Features Document and Terms and Conditions and represent and confirm that to the best of our knowledge and belief all of the statements made above in this Application Form or provided by way of additional information are true and complete and not misleading and shall, together with such terms and conditions, and the terms applicable to any Wrapper within our Portfolio from time to time, form the basis of the contract between us and you.

We confirm that we have read and understood the Transact Key Features Document and Terms and Conditions and personal illustration and understand the charges that will be levied. We are aware of our rights to cancel our Portfolio(s) and any Wrapper within them, and the effects of any such cancellation.

We consent to the recording of our telephone calls with you.

We acknowledge, agree and undertake that:

1. any Investment within our Portfolio at any time will be held by TNL on the basis specified in the terms applicable to the Wrapper in question only and, accordingly, neither you nor TNL takes responsibility for the day-to-day management of any such underlying company, fund or other product of whatever description;
2. you do not and will not provide any advice as to the tax implications of investing in a particular Wrapper and you do not and will not provide any advice as to the suitability of any particular Investment or investment strategy;
3. you do not give any warranty as to the performance or profitability of our Portfolio or any part of it and, accordingly, you shall not be liable for any loss or depreciation in the value of our Portfolio or any particular Wrapper, whether such loss or depreciation may result from a fall in the value of any Investment within my Portfolio or from any other cause (but excluding any loss arising from negligence, wilful default or fraud on your part);
4. we have read and understood your execution policy as set out in the Transact Terms and Conditions, and hereby consent to this policy; and
5. we have in particular read and understood the warning set out in the Transact Terms and Conditions in respect of transactions in non-complex instruments, and therefore understand that, in undertaking intermediary financial services for us in respect of transactions in non-complex instruments, you are not required to assess the suitability of the instrument or the service provided or offered to us and, as a result, we will not benefit from the protection of the FSA rules on assessing suitability. Therefore, you will not assess whether: (i) the relevant product or service meets our investment objectives; (ii) we would be able financially to bear the risk of any loss that the product or service may cause; or (iii) we have the necessary knowledge and experience to understand the risks involved.

We authorise Integrated Financial Arrangements plc:

1. to hold our cash, subscriptions, investments, interest, dividends, and any other rights or proceeds in respect of those investments or cash;
2. to make on our behalf any claims to relief from tax in respect of our investments;
3. on our request, transfer or pay to us investments, interest, dividends, rights or other proceeds in respect of such investments or cash; and
4. to make the adviser payments specified in this form on our behalf.

We have, where we have felt necessary, sought independent specialist advice.

We understand and agree that the contract that we are applying to enter into with you will be subject to English law and that the terms of our Portfolio and each Wrapper will be in the English language.

We hereby request that you open a Portfolio (constituted by a GIA Wrapper) in our name.

We confirm that to the best of our knowledge and belief (having made due and careful enquiry) we are not subject to any taxation, exchange control, legislation or regulation that would make this application unlawful.

We hereby appoint as our "Adviser" the firm whose details are set out in Section 11 below.

Data Protection

We consent that any personal information obtained by you (whether contained in this Application Form or otherwise and whether stored electronically or otherwise) may be held and used or disclosed to a third party to enable you to process this application, and to enable Integrated Financial Arrangements plc to process our Transact General Investment Account, or (in each case) any subsequent transactions and to communicate with us directly or indirectly for any purpose. For the avoidance of doubt, this includes the provision of relevant information to our agents and custodians from time to time.

Trustee 1

Signature

X

Print Name

Date

/ /

Country where signed

Trustee 2

Signature

X

Print Name

Date

/ /

Country where signed



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10 Client Declaration (continued)

Trustee 3

Signature

X

Print Name

Date

/ /

Country where signed

Authorised Signatory of Corporate Trustee

Signature

X

Print Name

Date

/ /

Country where signed

Trustee 4

Signature

X

Print Name

Date

/ /

Country where signed

11 Adviser Details and Declarations

Firm Name

Firm Address

Country

Postcode

Registered Individual Name

Firm's Transact Number

FSA Number (FRN)

Network Name (if any)

Individual's Transact Number

FSA Number (IRN)

I/We confirm that I/we have read and understood the Transact Adviser Terms and Conditions and I/we agree to be bound by the same, as from time to time amended by Integrated Financial Arrangements plc.

I/We confirm that I/we have informed each individual for whom identity and address verification is required that you may, at your sole discretion, undertake a search on a third party electronic database in order to satisfy yourself as to the identity and/or address of any such individual.

Signature

X

Authorised signatory for and on behalf of the Adviser

Date

/ /



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12 Adviser's Confirmation of Verification of Identity

We are required by law to verify the identity and residential address of all applicants. We can satisfy our legal requirements by verifying this information directly with the applicant(s), or by accepting your (the Adviser's) declaration of your verification of this information with the applicant.

If you (the Adviser) do not complete the following declaration/provide the required documentation, we will contact the applicant direct in order to verify this information.

Please Note: Where there is a single corporate Trustee please complete as if the two directors whose details appear in Section 1 above were 'Trustee 1' and 'Trustee 2'. Where there are individual trustees as well as a corporate trustee, please complete as if the two directors whose details appear in Section 1 above were 'Trustee 3' and 'Trustee 4'.

Confirmations:

Trustee 1

(whose details appear in Section 1 above)

Where Trustee 1 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

--	--	--	--	--	--	--	--	--	--

I, the Registered Individual named in Section 11 above, hereby confirm that:

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Trustee 1' and, where Trustee 1 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Trustee 1; and

- (ii) the evidence which I/we have obtained to verify the identity of Trustee 1: (tick one box only)

☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group

OR

☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

Trustee 3

(whose details appear in Section 1 above)

Where Trustee 3 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

--	--	--	--	--	--	--	--	--	--

I, the Registered Individual named in Section 11 above, hereby confirm that:

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Trustee 3' and, where Trustee 3 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Trustee 3; and

- (ii) the evidence which I/we have obtained to verify the identity of Trustee 3: (tick one box only)

Trustee 2

(whose details appear in Section 1 above)

Where Trustee 2 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

--	--	--	--	--	--	--	--	--	--

I, the Registered Individual named in Section 11 above, hereby confirm that:

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Trustee 2' and, where Trustee 2 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Trustee 2; and

- (ii) the evidence which I/we have obtained to verify the identity of Trustee 2: (tick one box only)

☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group

OR

☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

Trustee 4

(whose details appear in Section 1 above)

Where Trustee 4 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

--	--	--	--	--	--	--	--	--	--

I, the Registered Individual named in Section 11 above, hereby confirm that:

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Trustee 4' and, where Trustee 4 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Trustee 4; and

- (ii) the evidence which I/we have obtained to verify the identity of Trustee 4: (tick one box only)



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12 Adviser's Confirmation of Verification of Identity (continued)

- ☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group
- OR**
- ☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

- ☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group
- OR**
- ☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

and, where there is a **Corporate Trustee**, I, the Registered Individual named in Section 11 above, hereby further confirm that:

- (i) the information set out in Section 1 above in respect of the applicant was obtained by me/my firm in relation to the Corporate Trustee; and
- (ii) the evidence which I/we have obtained to verify the identity of the Corporate Trustee: (tick one box only)

- ☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group
- OR**
- ☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

and, where this Application Form is being signed for an Applicant under a Power of Attorney and/or a party who is not an Applicant is making the initial cash deposit payments or Investment transfers:

Attorney/Payer 1

Name of Attorney/Payer 1

Residential Address of Attorney/Payer 1

Country

Postcode

Where Attorney/Payer 1 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

I, the Registered Individual named in Section 11 above, hereby confirm that, where there is a person detailed above as 'Attorney/Payer 1':

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Attorney/Payer 1' and, where Attorney/Payer 1 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Attorney/Payer 1; and
- (ii) the evidence which I/we have obtained to verify the identity of Attorney/Payer 1: (tick one box only)

- ☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group
- OR**
- ☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

Signature

Registered Individual

Attorney/Payer 2

Name of Attorney/Payer 2

Residential Address of Attorney/Payer 2

Country

Postcode

Where Attorney/Payer 2 has **moved house in the three months prior to the date of this Application Form**, please specify their previous address below:

Previous Residential Address

Country

Postcode

I, the Registered Individual named in Section 11 above, hereby confirm that, where there is a person detailed above as 'Attorney/Payer 2':

- (i) the information set out in Section 1 above in respect of the person named in that section as 'Attorney/Payer 2' and, where Attorney/Payer 2 has changed address in the three months prior to the date of this Application Form, the information set out in the paragraph above, was obtained by me/my firm in relation to Attorney/Payer 2; and
- (ii) the evidence which I/we have obtained to verify the identity of Attorney/Payer 2: (tick one box only)

- ☐ meets the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group
- OR**
- ☐ exceeds the standard evidence set out within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (written details of the further verification evidence taken are attached to this Application Form)

Date

 / / 

Transact Portfolio Application - Trust Applicants

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13 Attachments

The following forms/documents are attached to this application form:

Once completed, please submit this form to:

Integrated Financial Arrangements plc
Domain House
5-7 Singer Street
London
EC2A 4BQ

OH002_3



"Transact" is operated by Integrated Financial Arrangements plc Domain House, 5-7 Singer Street, London EC2A 4BQ

Tel: (020) 7608 4900 Fax: (020) 7608 5300 email: info@transact-online.co.uk web: www.transact-online.co.uk

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